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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/734,167	12/15/2003	Yoshihiro Katsumata	Q78821	2113

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EXAMINER

BISSETT, MELANIE D

ART UNIT PAPER NUMBER

1711

DATE MAILED: 11/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary	Application No. 10/734,167	Applicant(s) KATSUMATA ET AL.	
	Examiner Melanie D. Bissett	Art Unit 1711	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 September 2005.
 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 17-22 and 24-30 is/are pending in the application.
 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
 5) ☐ Claim(s) _____ is/are allowed.
 6) ☒ Claim(s) 17-22 and 24-30 is/are rejected.
 7) ☐ Claim(s) _____ is/are objected to.
 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) ☒ All b) ☐ Some * c) ☐ None of:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

1. The rejections based on 35 USC 103 have been altered to reflect the amended claims. The 112 rejections have been withdrawn based on the applicant's amendments.

Claim Rejections - 35 USC § 103

2. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
3. Claims 17-21 and 25-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haruta et al. in view of Mochizuki et al.
4. From a prior Office action:

Haruta discloses an ink storing absorbent material for an ink jet made with a flexible polyurethane foam, where the foam is the reaction product of a polyol, an isocyanate, a catalyst, and a blowing agent (col. 1 lines 54-61). One embodiment shows foams having compression magnifications of 3 and cell numbers of 30-50 per inch (about 30-50 per 25 mm) (col. 15 lines 18-26). Since the ink storing material serves to provide ink to the printer head, the foam is also ink permeable. Haruta teaches a compressed foam with open cells for absorbing ink (col. 2 lines 33-45), also noting that the ink can comprise a surfactant (col. 35 lines 1-3). Thus, because the foam absorbs the ink, the foam would be impregnated with a surfactant when the ink comprises a surfactant. Also, since the foams contain a surfactant-containing fluid, it is the examiner's position that the foam would be indistinguishable from a foam made by the applicant's method of claim 21 and containing an ink. In such a case, the surfactant originally adhered to the foam surface would be dispersed in the ink fluid upon contact.

Although Haruta teaches an ink permeable absorbing member, the reference does not teach the inclusion of a second contacting foam having a specific compression magnification. Mochizuki teaches an ink tank cartridge comprising a porous member having ink impregnated thereon (abstract). The porous member is compressed and may be provided in two or more layers; also, a separate second porous member is mentioned (col. 2 line 64-col. 3 line 11; col. 5 line 62-col. 6 line 4). Preferred porous members are formed of polyurethane foam (col. 5 lines 47-48). When the porous member contains more than one layer, the pore sizes of the layers differ in that pore sizes closer to the port have smaller pores and thus are more absorbent (col. 9 line 56-col. 10 line 4; col. 9 lines 4-13). When three layers of porous member are used, the least concentrated layer could be considered an ink permeation layer, while the other two layers having increasing

absorption and compression could be considered ink absorption layers. It is the examiner's position that it would have been prima facie obvious to adopt the layer structure/porous member plurality of Mochizuki's invention in the ink tanks of Haruta's invention to provide increasing ink flow to the printer head.

5. Regarding the amount of surfactant impregnated within the ink permeable member, Haruta teaches that additives, including surfactants, are employed in the ink compositions in amounts of 0.01-1% by weight. The ink compositions are impregnated into the foams in various amounts. It is the examiner's position that it would have been prima facie obvious to include the ink containing the surfactant in any amount necessary to provide sufficient ink for printing purposes. The concentration of surfactant impregnated in the foam would vary accordingly.

6. Regarding the compression magnification, it has been the examiner's position that it would have been obvious to use the structures/porous member pluralities of Mochizuki's invention ink tanks of Haruta's invention. These structures include a number of porous, absorbent layers having increasing compression magnification, where each of the layers is impregnated with ink. The absorbent member foams of the Haruta reference are taught to have various compression values, many of them above 5 (Tables 3-4). Thus, it would have been prima facie obvious to use those foams of higher compression for the high-compression layers in the multi-layered structure to optimize recording and ink mobility of the layers.

7. Inks used in the invention contain a coloring agent selected from water-soluble dyes or pigments (col. 35 lines 2-7).

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8. Claims 22 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haruta et al. in view of Mochizuki et al. as applied to claims 17-21 and 25-26 above, and further in view of Konica Corp.

9. From a prior Office action:

The references apply as above, teaching foams having ink stored therein but failing to teach denaturated sodium succinate surfactants within the inks. Konica Corp teaches inks for ink jet printers having dialkyl sulfosuccinate compounds added to the inks to provide improved interval properties (abstract). It is the examiner's position that it would have been prima facie obvious to add denaturated sodium succinate to the inks of the Haruta and Mochizuki invention in any amount necessary to optimize interval properties. Such optimization would provide foams having the claimed surfactant density.

Response to Arguments

10. Regarding the applicant's arguments that ink permeation members need lower capillary effect, it is first noted that the claims do not limit the compression of the ink permeating members. It is further noted that the multi-layered structure of Mochizuki teaches the use of layers of increasing compression to increase capillary effect. Since the primary reference, Haruta, teaches various compressions for the foams, it would have been obvious to choose the foams of higher compression as the higher-compression layers. It is still the examiner's position that the Haruta reference teaches the impregnation of a surfactant by teaching that the foams are impregnated with ink, which contains a surfactant. One skilled in the art would have chosen an amount of ink to optimize the printing properties of the cartridge and would have chosen an amount of surfactant as guided by the Haruta reference. Due to the broad ranges claimed by the

applicant, it appears that the amounts of surfactant in the ink would fall within the claimed ranges.

Conclusion

11. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melanie D. Bissett whose telephone number is (571) 272-1068. The examiner can normally be reached on M-F 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Seidleck can be reached on (571) 272-1078. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Melanie D. Bissett
Primary Examiner
Art Unit 1711

mdb